



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2021
OF THE CONDITION AND AFFAIRS OF THE

GENWORTH FINANCIAL ASSURANCE CORPORATION

NAIC Group Code 4011 4011 NAIC Company Code 37095 Employer's ID Number 56-1775870
(Current) (Prior)

Organized under the Laws of North Carolina, State of Domicile or Port of Entry NC

Country of Domicile United States of America

Incorporated/Organized 06/12/1992 Commenced Business 07/01/1992

Statutory Home Office 8325 Six Forks Road, Raleigh, NC, US 27615
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 8325 Six Forks Road
(Street and Number)
Raleigh, NC, US 27615 919-846-4100
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 8325 Six Forks Road, Raleigh, NC, US 27615
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 8325 Six Forks Road
(Street and Number)
Raleigh, NC, US 27615 919-846-4100
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.enactmi.com

Statutory Statement Contact Sandra Held Reese, 919-846-4125
(Name) (Area Code) (Telephone Number)
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(E-mail Address) (FAX Number)

OFFICERS

Chairperson of the Board, President & Chief Executive Officer	<u>Rohit Gupta</u>	Senior Vice President, Chief Financial Officer & Treasurer	<u>Hardin Dean Mitchell</u>
Senior Vice President, General Counsel & Secretary	<u>Evan Scott Stolove</u>		

OTHER

<u>Michael Paul Derstine, SVP</u>	<u>Kevin Francis McMahon, SVP</u>	<u>Daniel Joseph Sheehan IV, SVP & Chief Investment Officer</u>
<u>Susan Gumm Sullivan, SVP</u>	<u>Matthew Robert Young, SVP</u>	

DIRECTORS OR TRUSTEES

<u>Michael Paul Derstine</u>	<u>Anthony Todd Guarino</u>	<u>Rohit Gupta</u>
<u>Kevin Francis McMahon</u>	<u>Hardin Dean Mitchell</u>	<u>Evan Scott Stolove</u>

State of North Carolina SS:
County of Wake

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Rohit Gupta
Chairperson of the Board, President & Chief
Executive Officer

Evan Scott Stolove
Senior Vice President, General Counsel &
Secretary

Hardin Dean Mitchell
Senior Vice President, Chief Financial Officer &
Treasurer

Subscribed and sworn to before me this 5th day of November 2021
Jill M. Awe

- a. Is this an original filing? Yes [X] No []
b. If no,
1. State the amendment number.....
2. Date filed
3. Number of pages attached.....



ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	6,493,291		6,493,291	9,177,274
2. Stocks:				
2.1 Preferred stocks				
2.2 Common stocks				
3. Mortgage loans on real estate:				
3.1 First liens				
3.2 Other than first liens				
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)				
4.2 Properties held for the production of income (less \$ encumbrances)				
4.3 Properties held for sale (less \$ encumbrances)				
5. Cash (\$2,630,488), cash equivalents (\$836,500) and short-term investments (\$)	3,466,988		3,466,988	854,969
6. Contract loans (including \$ premium notes)				
7. Derivatives				
8. Other invested assets				
9. Receivables for securities				
10. Securities lending reinvested collateral assets				
11. Aggregate write-ins for invested assets				
12. Subtotals, cash and invested assets (Lines 1 to 11)	9,960,279		9,960,279	10,032,243
13. Title plants less \$ charged off (for Title insurers only)				
14. Investment income due and accrued	74,552		74,552	48,579
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection				
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)				
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$)				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers				
16.2 Funds held by or deposited with reinsured companies				
16.3 Other amounts receivable under reinsurance contracts				
17. Amounts receivable relating to uninsured plans				
18.1 Current federal and foreign income tax recoverable and interest thereon	11,434		11,434	
18.2 Net deferred tax asset				
19. Guaranty funds receivable or on deposit				
20. Electronic data processing equipment and software				
21. Furniture and equipment, including health care delivery assets (\$)				
22. Net adjustment in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates				
24. Health care (\$) and other amounts receivable				
25. Aggregate write-ins for other than invested assets				
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	10,046,265		10,046,265	10,080,822
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28. Total (Lines 26 and 27)	10,046,265		10,046,265	10,080,822
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)				
2501.				
2502.				
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page				
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)				

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE GENWORTH FINANCIAL ASSURANCE CORPORATION

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$)		
2. Reinsurance payable on paid losses and loss adjustment expenses		
3. Loss adjustment expenses		
4. Commissions payable, contingent commissions and other similar charges		
5. Other expenses (excluding taxes, licenses and fees)		
6. Taxes, licenses and fees (excluding federal and foreign income taxes)	195	45
7.1 Current federal and foreign income taxes (including \$ on realized capital gains (losses))		5,784
7.2 Net deferred tax liability	8,257	7,286
8. Borrowed money \$ and interest thereon \$		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ and including warranty reserves of \$ and accrued accident and health experience rating refunds including \$ for medical loss ratio rebate per the Public Health Service Act)		
10. Advance premium		
11. Dividends declared and unpaid:		
11.1 Stockholders		
11.2 Policyholders		
12. Ceded reinsurance premiums payable (net of ceding commissions)		
13. Funds held by company under reinsurance treaties		
14. Amounts withheld or retained by company for account of others		
15. Remittances and items not allocated		
16. Provision for reinsurance (including \$ certified)		
17. Net adjustments in assets and liabilities due to foreign exchange rates		
18. Drafts outstanding		
19. Payable to parent, subsidiaries and affiliates	7,005	7,422
20. Derivatives		
21. Payable for securities		
22. Payable for securities lending		
23. Liability for amounts held under uninsured plans		
24. Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities		
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)	15,457	20,537
27. Protected cell liabilities		
28. Total liabilities (Lines 26 and 27)	15,457	20,537
29. Aggregate write-ins for special surplus funds		
30. Common capital stock	2,500,000	2,500,000
31. Preferred capital stock		
32. Aggregate write-ins for other than special surplus funds		
33. Surplus notes		
34. Gross paid in and contributed surplus	6,267,727	6,267,727
35. Unassigned funds (surplus)	1,263,081	1,292,558
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 30 \$)		
36.2 shares preferred (value included in Line 31 \$)		
37. Surplus as regards policyholders (Lines 29 to 35, less 36)	10,030,808	10,060,285
38. Totals (Page 2, Line 28, Col. 3)	10,046,265	10,080,822
DETAILS OF WRITE-INS		
2501.		
2502.		
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page		
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)		
2901.		
2902.		
2903.		
2998. Summary of remaining write-ins for Line 29 from overflow page		
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)		
3201.		
3202.		
3203.		
3298. Summary of remaining write-ins for Line 32 from overflow page		
3299. Totals (Lines 3201 through 3203 plus 3298)(Line 32 above)		

STATEMENT OF INCOME

	1	2	3
	Current	Prior Year	Prior Year Ended
	Year to Date	to Date	December 31
UNDERWRITING INCOME			
1. Premiums earned:			
1.1 Direct (written \$)			
1.2 Assumed (written \$)			
1.3 Ceded (written \$)			
1.4 Net (written \$)			
DEDUCTIONS:			
2. Losses incurred (current accident year \$):			
2.1 Direct	(2,292)	(2,628)	(3,154)
2.2 Assumed			
2.3 Ceded			
2.4 Net	(2,292)	(2,628)	(3,154)
3. Loss adjustment expenses incurred			
4. Other underwriting expenses incurred	124,468	123,446	134,196
5. Aggregate write-ins for underwriting deductions			
6. Total underwriting deductions (Lines 2 through 5)	122,176	120,818	131,042
7. Net income of protected cells			
8. Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7)	(122,176)	(120,818)	(131,042)
INVESTMENT INCOME			
9. Net investment income earned	79,651	135,059	165,982
10. Net realized capital gains (losses) less capital gains tax of \$ 632	(134)	(123)	(345)
11. Net investment gain (loss) (Lines 9 + 10)	79,517	134,936	165,637
OTHER INCOME			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ amount charged off \$)			
13. Finance and service charges not included in premiums			
14. Aggregate write-ins for miscellaneous income			
15. Total other income (Lines 12 through 14)			
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	(42,659)	14,118	34,595
17. Dividends to policyholders			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	(42,659)	14,118	34,595
19. Federal and foreign income taxes incurred	(12,066)	1,815	5,563
20. Net income (Line 18 minus Line 19)(to Line 22)	(30,593)	12,303	29,032
CAPITAL AND SURPLUS ACCOUNT			
21. Surplus as regards policyholders, December 31 prior year	10,060,285	10,033,616	10,033,616
22. Net income (from Line 20)	(30,593)	12,303	29,032
23. Net transfers (to) from Protected Cell accounts			
24. Change in net unrealized capital gains (losses) less capital gains tax of \$			
25. Change in net unrealized foreign exchange capital gain (loss)			
26. Change in net deferred income tax	(971)	(534)	(276)
27. Change in nonadmitted assets	2,087	(8,344)	(2,087)
28. Change in provision for reinsurance			
29. Change in surplus notes			
30. Surplus (contributed to) withdrawn from protected cells			
31. Cumulative effect of changes in accounting principles			
32. Capital changes:			
32.1 Paid in			
32.2 Transferred from surplus (Stock Dividend)			
32.3 Transferred to surplus			
33. Surplus adjustments:			
33.1 Paid in			
33.2 Transferred to capital (Stock Dividend)			
33.3 Transferred from capital			
34. Net remittances from or (to) Home Office			
35. Dividends to stockholders			
36. Change in treasury stock			
37. Aggregate write-ins for gains and losses in surplus			
38. Change in surplus as regards policyholders (Lines 22 through 37)	(29,477)	3,425	26,669
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	10,030,808	10,037,041	10,060,285
DETAILS OF WRITE-INS			
0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page			
0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above)			
1401.			
1402.			
1403.			
1498. Summary of remaining write-ins for Line 14 from overflow page			
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)			
3701.			
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page			
3799. Totals (Lines 3701 through 3703 plus 3798)(Line 37 above)			

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE GENWORTH FINANCIAL ASSURANCE CORPORATION

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance			
2. Net investment income	50,658	140,748	166,018
3. Miscellaneous income			
4. Total (Lines 1 to 3)	50,658	140,748	166,018
5. Benefit and loss related payments	(2,292)	(2,628)	(3,154)
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	124,318	123,511	134,261
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of \$ 345 tax on capital gains (losses)	5,784	39,792	41,637
10. Total (Lines 5 through 9)	127,810	160,675	172,744
11. Net cash from operations (Line 4 minus Line 10)	(77,152)	(19,927)	(6,726)
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	4,996,484	4,025,000	4,125,908
12.2 Stocks			
12.3 Mortgage loans			
12.4 Real estate			
12.5 Other invested assets			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments			
12.7 Miscellaneous proceeds			
12.8 Total investment proceeds (Lines 12.1 to 12.7)	4,996,484	4,025,000	4,125,908
13. Cost of investments acquired (long-term only):			
13.1 Bonds	2,306,897	105,115	4,600,896
13.2 Stocks			
13.3 Mortgage loans			
13.4 Real estate			
13.5 Other invested assets			
13.6 Miscellaneous applications			
13.7 Total investments acquired (Lines 13.1 to 13.6)	2,306,897	105,115	4,600,896
14. Net increase (or decrease) in contract loans and premium notes			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	2,689,587	3,919,885	(474,988)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes			
16.2 Capital and paid in surplus, less treasury stock			
16.3 Borrowed funds			
16.4 Net deposits on deposit-type contracts and other insurance liabilities			
16.5 Dividends to stockholders			
16.6 Other cash provided (applied)	(416)	(2,773)	190
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(416)	(2,773)	190
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	2,612,019	3,897,185	(481,524)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	854,969	1,336,493	1,336,493
19.2 End of period (Line 18 plus Line 19.1)	3,466,988	5,233,678	854,969

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. Non-cash exchange - bonds (Line 12.1)		(99,916)	(99,916)
20.0002. Non-cash exchange - bonds (Line 13.1).		(99,916)	(99,916)

NOTES TO FINANCIAL STATEMENTS

Note 1. - Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The accompanying financial statements of Genworth Financial Assurance Corporation (the "Company") have been prepared on the basis of accounting practices prescribed by the North Carolina Department of Insurance ("NCDOI"). The state of North Carolina requires insurance companies domiciled in the state of North Carolina to prepare their statutory financial statements in accordance with the National Association of Insurance Commissioners' ("NAIC") Accounting Practices and Procedures Manual subject to any deviations prescribed or permitted by the NCDOI.

	SSAP #	F/S Page	F/S Line #	2021	2020
NET INCOME					
1. State Basis (Page 4, Line 20, Columns 1&3)	XXX	XXX	XXX	\$ (30,593)	\$ 29,032
2. State Prescribed Practices that increase/(decrease) NAIC SAP					
3. State Permitted Practices that increase/(decrease) NAIC SAP					
4. NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$ (30,593)	\$ 29,032
SURPLUS					
5. State Basis (Page 3, Line 37, Columns 1&2)	XXX	XXX	XXX	\$ 10,030,808	\$ 10,060,285
6. State Prescribed Practices that increase/(decrease) NAIC SAP					
7. State Permitted Practices that increase/(decrease) NAIC SAP					
8. NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$ 10,030,808	\$ 10,060,285

B. No significant change.

C. Accounting Policies

Loan-backed bonds and structured securities ("LBaSS") other than non-agency residential mortgage-backed securities are stated at amortized cost using the modified scientific method, except where NAIC designation has fallen to 3 or below and the fair value has fallen below amortized cost, in which case they are stated at fair value. Amortization of LBaSS is based on prepayment assumptions that are updated at least annually. Significant changes of estimated cash flows from original purchase assumptions are accounted for using the retrospective adjustment method for all such securities, except for securities for which the Company recorded other-than-temporary impairment charges. In such instances, the prospective method is used.

D. Going Concern

Based upon its evaluation of relevant conditions and events, management does not have substantial doubt about the Company's ability to continue as a going concern.

Note 2. - Accounting Changes and Corrections of Errors

No significant change.

Note 3. - Business Combinations and Goodwill

No significant change.

Note 4. - Discontinued Operations

No significant change.

Note 5. - Investments

A. - C. No significant change.

D. Loan-Backed Securities

1. Prepayment assumptions for single-class and multi-class mortgage-backed/asset-backed securities were obtained from broker dealer survey values or internal estimates.
2. The Company does not hold any securities for which other-than-temporary impairment has been recognized.
3. The Company does not hold any securities for which other-than-temporary impairment has been recognized.
4. The Company does not hold any impaired securities for which other-than-temporary impairment has not been recognized in earnings as a realized loss.
5. The Company regularly evaluates securities in an unrealized loss position for OTTI. For debt securities, the Company considers all available information relevant to the collectability of the security, including information about past events, current conditions, and reasonable and supportable forecasts, when developing the estimate of cash flows expected to be collected. More specifically for mortgage-backed and asset-backed securities, the Company also utilizes performance indicators of the underlying assets including defaults or delinquency rates, loans to collateral value ratio, third-party credit enhancements, current levels of subordination, vintage and other relevant characteristics of the security or underlying assets to develop the Company's estimate of cash flows. Estimating the cash flows expected to be collected is a quantitative and qualitative process that incorporates information received from third-party sources along with certain internal assumptions and judgements regarding the future performance of the underlying collateral. Where possible, this data is benchmarked against third-party sources.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

None

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

None

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

None

H. Repurchase Agreements Transactions Accounted for as a Sale

None

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

None

J. - L. No significant change.

M. Working Capital Finance Investments

None

N. Offsetting and Netting of Assets and Liabilities

None

O. The financial statements shall disclose the reporting entity's share of the cash pool by asset type

None

Note 6. - Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

NOTES TO FINANCIAL STATEMENTS

Note 7. - Investment Income

No significant change.

Note 8. - Derivative Instruments

No significant change.

Note 9. - Income Taxes

No significant change.

Note 10. - Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

No significant change.

Note 11. - Debt

None

Note 12. - Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A. Defined Benefit Plans
The Company does not have any employees.

B. - I. No significant change.

Note 13. - Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

No significant change.

Note 14. - Liabilities, Contingencies and Assessments

A. - F. No significant change.

G. Other Contingencies
The Company had no admitted assets in uncollected premiums as of September 30, 2021.

The Company had no material noninsurance contingencies as of September 30, 2021.

Note 15. - Leases

No significant change.

Note 16. - Information About Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No significant change.

Note 17. - Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. No significant change.

B. Transfers and Servicing of Financial Assets
None

C. Wash Sales
None

Note 18. - Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

Note 19. - Direct Premiums Written / Produced by Managing General Agents/Third Party Administrators

No significant change.

Note 20. - Fair Value Measurements

A. Assets and Liabilities Measured at Fair Value
None

B. Other Fair Value Disclosures
None

C. Aggregate Fair Value for All Financial Instruments
The following tables set forth the Company's assets' Fair Value, Admitted Amount and Level of Fair Value Amounts as of September 30, 2021:

Description	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Not Practicable (CV)
Bonds	\$ 6,885,244	\$ 6,493,291	\$ —	\$ 6,885,244	\$ —	\$ —	\$ —
Cash equivalents	836,500	836,500	836,500	—	—	—	—
Total Assets	\$ 7,721,744	\$ 7,329,791	\$ 836,500	\$ 6,885,244	\$ —	\$ —	\$ —

The following tables set forth the Company's assets' Fair Value, Admitted Amount and Level of Fair Value Amounts as of December 31, 2020:

Description	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Not Practicable (CV)
Bonds	\$ 9,739,487	\$ 9,177,274	\$ —	\$ 9,739,487	\$ —	\$ —	\$ —
Cash equivalents	836,500	836,500	836,500	—	—	—	—
Total Assets	\$ 10,575,987	\$ 10,013,774	\$ 836,500	\$ 9,739,487	\$ —	\$ —	\$ —

D. Financial Instruments Where Fair Value Not Practical
None

NOTES TO FINANCIAL STATEMENTS

Note 21. - Other Items

A. - B. No significant change.

C. Other Disclosures

On October 21, 2016, Genworth Financial, Inc. ("Genworth") entered into an agreement and plan of merger (the "Merger Agreement") with Asia Pacific Global Capital Co., Ltd., a limited liability company incorporated in the People's Republic of China and a subsidiary of China Oceanwide, and Asia Pacific Global Capital USA Corporation ("Merger Sub"), a Delaware corporation and a direct, wholly-owned subsidiary of Asia Pacific Insurance USA Holdings LLC ("Asia Pacific Insurance"), which is a Delaware limited liability company and owned by China Oceanwide, pursuant to which, subject to the terms and conditions set forth therein, Merger Sub would merge with and into Genworth with Genworth surviving the merger as a direct, wholly-owned subsidiary of Asia Pacific Insurance.

On January 4, 2021, Genworth announced that an extension of the then current December 31, 2020, Merger Agreement end date would not be sought given uncertainty around the completion and timing of the remaining steps required to close the transaction. The Merger Agreement between Genworth and China Oceanwide remained in effect at that time, although either party was able to terminate the Merger Agreement. On April 6, 2021, Genworth's Board of Directors determined to terminate the Merger Agreement, based on its belief that China Oceanwide would not be able to close the transaction within a reasonable timeframe and in order for Genworth to pursue its strategic plan without restriction and without uncertainty regarding its ultimate ownership.

The United States economy and consumer confidence improved in the third quarter of 2021 compared to the second quarter of 2021. The unemployment rate has continued to decrease since the beginning of the coronavirus pandemic ("COVID-19") and was 4.8% in September 2021. While this is elevated compared to the pre-COVID-19 level of 3.5% in February 2020, it has steadily decreased from a peak of 14.8% in April 2020. Even after the continued recovery in the third quarter of 2021, the number of unemployed Americans stands at approximately 7.7 million, which is 2.0 million higher than in February 2020. While the impact of COVID-19 is very difficult to predict, the ultimate impact on the Company's business will depend on the length of the pandemic and speed of the economic recovery. The Company will continue to monitor developments and the potential financial impacts on its business. As of September 30, 2021, the Company had not experienced any significant financial impact resulting from COVID-19.

In May 2021, the Company's indirect parent, Genworth Mortgage Holdings, Inc., changed its name to Enact Holdings, Inc. Additionally, in June 2021, the Company's direct parent, Genworth Mortgage Holdings, LLC, changed its name to Enact Mortgage Holdings, LLC.

On September 15, 2021, EHI priced the initial public offering ("IPO") of its common stock, which resulted in the issuance and sale of 13,310,400 shares of common stock at the IPO price of \$19.00 per common share. All shares were offered by the selling stockholder, Genworth Holdings, Inc. ("Genworth Holdings"), a wholly owned subsidiary of Genworth. In addition to the shares sold in the IPO, 14,655,600 common shares were sold in a concurrent private sale ("Private Sale") at a price per share of \$17.86, which is equal to the IPO price less the underwriting discount share. Genworth Holdings also granted the underwriters a 30-day option to purchase up to an additional 1,996,560 common shares ("Over-Allotment Option") at the IPO price less the underwriting discount. On September 16, 2021, the underwriters exercised their option to purchase all 1,996,560 common shares permitted under the terms of the underwriting agreement. The IPO, Private Sale and Over-Allotment Option (collectively the "Offering") closed on September 20, 2021, and Genworth Holdings retained all net proceeds from the Offering. Following the completion of the Offering, Genworth Holdings beneficially own approximately 81.6% of the common shares of EHI.

D. - H. No significant change.

Note 22. - Events Subsequent

The Company changed its name to Enact Financial Assurance Corporation effective October 1, 2021.

Subsequent events have been considered through November 8, 2021.

Note 23. - Reinsurance

No significant change.

Note 24. - Retrospectively Rated Contracts and Contracts Subject to Redetermination

A. - E. No significant change.

F. Risk-Sharing Provisions of the Affordable Care Act (ACA)

Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk-sharing provisions? NO

Note 25. - Changes in Incurred Losses and Loss Adjustment Expenses

None

Note 26. - Intercompany Pooling Arrangements

No significant change.

Note 27. - Structured Settlements

No significant change.

Note 28. - Health Care Receivables

No significant change.

Note 29. - Participating Policies

No significant change.

Note 30. - Premium Deficiency Reserves

No significant change.

Note 31. - High Deductibles

No significant change.

Note 32. - Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses

No significant change.

Note 33. - Asbestos/Environmental Reserves

No significant change.

Note 34. - Subscriber Savings Accounts

No significant change.

Note 35. - Multiple Peril Corp Insurance

No significant change.

Note 36. - Financial Guaranty Insurance

None

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE GENWORTH FINANCIAL ASSURANCE CORPORATION

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [] No [X]

1.2

If yes, has the report been filed with the domiciliary state?

Yes [] No []

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [] No [X]

2.2

If yes, date of change:

3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?

If yes, complete Schedule Y, Parts 1 and 1A.

Yes [X] No []

3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes [] No [X]

3.3

If the response to 3.2 is yes, provide a brief description of those changes.

3.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes [X] No []

3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

1276520

4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

If yes, complete and file the merger history data file with the NAIC.

Yes [] No [X]

4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?

If yes, attach an explanation.

Yes [] No [X] N/A []

6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2016

6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2016

6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

01/31/2018

6.4

By what department or departments?
North Carolina Department of Insurance

6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [] No [] N/A [X]

6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [] No [] N/A [X]

7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [] No [X]

7.2

If yes, give full information:

8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [] No [X]

8.2

If response to 8.1 is yes, please identify the name of the bank holding company.

8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [] No [X]

8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE GENWORTH FINANCIAL ASSURANCE CORPORATION

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

Yes [X] No []
- 9.11

If the response to 9.1 is No, please explain:
- 9.2

Has the code of ethics for senior managers been amended?

Yes [] No [X]
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [] No [X]
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes [] No [X]
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [] No [X]
- 11.2

If yes, give full and complete information relating thereto:
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$
13.

Amount of real estate and mortgages held in short-term investments:

\$
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [] No [X]
- 14.2

If yes, please complete the following:
- | | 1 | 2 |
|---|---|--|
| | Prior Year-End
Book/Adjusted
Carrying Value | Current Quarter
Book/Adjusted
Carrying Value |
| 14.21 Bonds | \$ | \$ |
| 14.22 Preferred Stock | \$ | \$ |
| 14.23 Common Stock | \$ | \$ |
| 14.24 Short-Term Investments | \$ | \$ |
| 14.25 Mortgage Loans on Real Estate | \$ | \$ |
| 14.26 All Other | \$ | \$ |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$ | \$ |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$ | \$ |
- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [] No [X]
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?
If no, attach a description with this statement.

Yes [] No [] N/A []
16.

For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1

Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.

\$
- 16.2

Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$
- 16.3

Total payable for securities lending reported on the liability page.

\$

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE GENWORTH FINANCIAL ASSURANCE CORPORATION

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
The Bank of New York Mellon	One Wall Street, New York, NY 10286

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Managed internally	I.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?..... Yes [] No [X]
- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... Yes [] No [X]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No []
- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
b. Issuer or obligor is current on all contracted interest and principal payments.
c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities? Yes [] No [X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

a. The security was purchased prior to January 1, 2018.
b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities? Yes [] No [X]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

a. The shares were purchased prior to January 1, 2019.
b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
d. The fund only or predominantly holds bonds in its portfolio.
e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No [X]

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE GENWORTH FINANCIAL ASSURANCE CORPORATION

GENERAL INTERROGATORIES

PART 2 - PROPERTY & CASUALTY INTERROGATORIES

1.

If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change?
If yes, attach an explanation.

Yes [] No [] N/A [X]
2.

Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured?
If yes, attach an explanation.

Yes [] No [X]
- 3.1

Have any of the reporting entity's primary reinsurance contracts been canceled?

Yes [] No [X]
- 3.2

If yes, give full and complete information thereto.
- 4.1

Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of " tabular reserves") discounted at a rate of interest greater than zero?

Yes [] No [X]
- 4.2

If yes, complete the following schedule:

			TOTAL DISCOUNT				DISCOUNT TAKEN DURING PERIOD			
1	2	3	4	5	6	7	8	9	10	11
Line of Business	Maximum Interest	Discount Rate	Unpaid Losses	Unpaid LAE	IBNR	TOTAL	Unpaid Losses	Unpaid LAE	IBNR	TOTAL
TOTAL										

5.

Operating Percentages:
- 5.1

A&H loss percent

%
- 5.2

A&H cost containment percent

%
- 5.3

A&H expense percent excluding cost containment expenses

%
- 6.1

Do you act as a custodian for health savings accounts?

Yes [] No [X]
- 6.2

If yes, please provide the amount of custodial funds held as of the reporting date\$.....
- 6.3

Do you act as an administrator for health savings accounts?

Yes [] No [X]
- 6.4

If yes, please provide the balance of the funds administered as of the reporting date\$.....
7.

Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?

Yes [X] No []
- 7.1

If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?

Yes [] No []

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE GENWORTH FINANCIAL ASSURANCE CORPORATION

SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

[illegible]

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories							
States, etc.	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama.....AL	L						
2. Alaska.....AK	L						
3. Arizona.....AZ	L						
4. Arkansas.....AR	L						
5. California.....CA	N						
6. Colorado.....CO	L						
7. Connecticut.....CT	N						
8. Delaware.....DE	L						
9. District of Columbia.....DC	L						
10. Florida.....FL	L						
11. Georgia.....GA	L						
12. Hawaii.....HI	L						
13. Idaho.....ID	L						
14. Illinois.....IL	L						
15. Indiana.....IN	L						
16. Iowa.....IA	L						
17. Kansas.....KS	L						
18. Kentucky.....KY	L						
19. Louisiana.....LA	L						
20. Maine.....ME	N						
21. Maryland.....MD	L						
22. Massachusetts.....MA	N						
23. Michigan.....MI	L						
24. Minnesota.....MN	L						
25. Mississippi.....MS	L						
26. Missouri.....MO	L						
27. Montana.....MT	L						
28. Nebraska.....NE	L						
29. Nevada.....NV	L						
30. New Hampshire.....NH	L						
31. New Jersey.....NJ	L						
32. New Mexico.....NM	L						
33. New York.....NY	L						
34. North Carolina.....NC	L			(2,292)	(2,628)		
35. North Dakota.....ND	L						
36. Ohio.....OH	L						
37. Oklahoma.....OK	L						
38. Oregon.....OR	L						
39. Pennsylvania.....PA	L						
40. Rhode Island.....RI	L						
41. South Carolina.....SC	L						
42. South Dakota.....SD	L						
43. Tennessee.....TN	L						
44. Texas.....TX	L						
45. Utah.....UT	L						
46. Vermont.....VT	L						
47. Virginia.....VA	L						
48. Washington.....WA	N						
49. West Virginia.....WV	L						
50. Wisconsin.....WI	L						
51. Wyoming.....WY	N						
52. American Samoa.....AS	N						
53. Guam.....GU	N						
54. Puerto Rico.....PR	N						
55. U.S. Virgin Islands.....VI	N						
56. Northern Mariana Islands.....MP	N						
57. Canada.....CAN	N						
58. Aggregate Other Alien OT	XXX						
59. Totals	XXX			(2,292)	(2,628)		
DETAILS OF WRITE-INS							
58001.....	XXX						
58002.....	XXX						
58003.....	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page.....	XXX						
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX						

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....45

E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - see DSLI).....

D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile.....

R - Registered - Non-domiciled RRGs.....

Q - Qualified - Qualified or accredited reinsurer.....

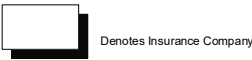
N - None of the above - Not allowed to write business in the state.....12

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE GENWORTH FINANCIAL ASSURANCE CORPORATION

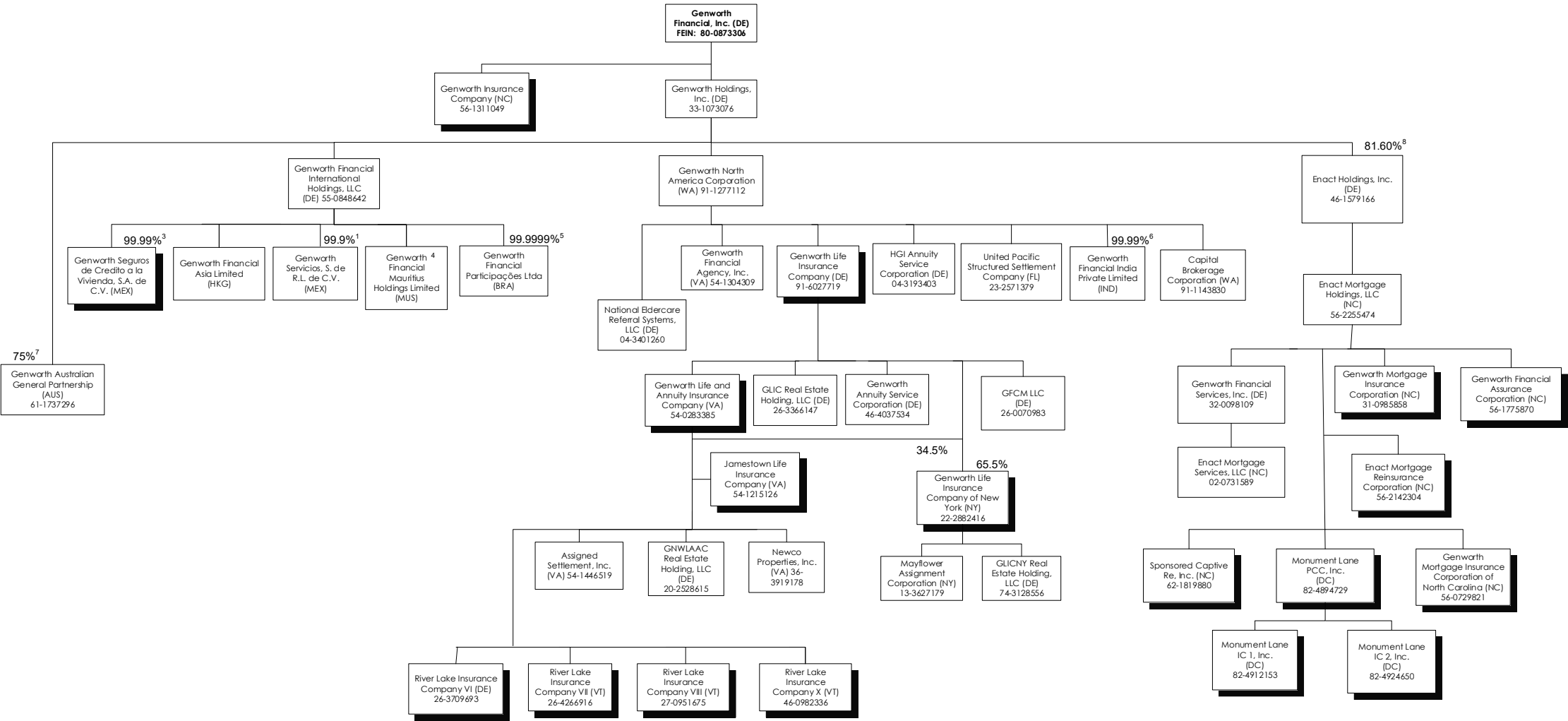
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Genworth Financial, Inc.
Global Organizational Chart
As of September 30, 2021
Common Stock Ownership Only - 100% unless otherwise indicated



Genworth
Foundation ²
(VA) 20-3370235



Reflects capitalized companies only.
Does not include limited partnerships or investment companies whose shares are owned by individual investors or insurance companies.

¹ 1 share owned by Genworth Mortgage Insurance Corporation.
² No shareholders.
³ 01% owned by Genworth Mortgage Insurance Corporation.
⁴ Minority Interest – Genworth Financial Mauritius Holdings Limited owns 48.7% of India Mortgage Guarantee Corporation Private Limited; Remainder

⁵ 0001% owned by Genworth Holdings, Inc.
⁶ 01% owned by Genworth Holdings, Inc.
⁷ Genworth Financial Asia Limited 24.99% and Genworth North America Corporation 0.01%.
⁸ ~9% investment vehicles managed by Bayview Asset Management, LLC; Remainder publicly owned.

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE GENWORTH FINANCIAL ASSURANCE CORPORATION

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
		.00000	54-1446519				Assigned Settlement, Inc.	.VA	.NIA	Genworth Life and Annuity Insurance Company	Ownership		Genworth Financial, Inc.	.Y	
		.00000	91-1143830				Capital Brokerage Corporation	.IA	.NIA	Genworth North America Corporation	Ownership		Genworth Financial, Inc.	.N	
		.00000	46-1579166		0001823529	Nasdaq	Enact Holdings, Inc.	.DE	.NIA	Genworth Holdings, Inc.	Ownership		Genworth Financial, Inc.	.N	
		.00000	56-2255474				Enact Mortgage Holdings, LLC	.NC	.NIA	Enact Holdings, Inc.	Ownership		Genworth Financial, Inc.	.N	
.4011	Genworth Financial, Inc.	.11049	56-2142304				Enact Mortgage Reinsurance Corporation	.NC	.IA	Enact Mortgage Holdings, LLC	Ownership		Genworth Financial, Inc.	.N	
		.00000	02-0731589				Enact Mortgage Services, LLC	.NC	.NIA	Genworth Financial Services, Inc.	Ownership		Genworth Financial, Inc.	.N	
		.00000	46-4037534				Genworth Annuity Service Corporation	.DE	.DS	Genworth Life Insurance Company	Ownership		Genworth Financial, Inc.	.Y	
		.00000	61-1737296				Genworth Australian General Partnership	.AUS	.NIA	Genworth Holdings, Inc.	Ownership		Genworth Financial, Inc.	.N	
		.00000	61-1737296				Genworth Australian General Partnership	.AUS	.NIA	Genworth Financial Asia Limited	Ownership		Genworth Financial, Inc.	.N	
		.00000	61-1737296				Genworth Australian General Partnership	.AUS	.NIA	Genworth North America Corporation	Ownership		Genworth Financial, Inc.	.N	
		.00000	54-1304309				Genworth Financial Agency, Inc.	.VA	.NIA	Genworth North America Corporation	Ownership		Genworth Financial, Inc.	.N	
		.00000					Genworth Financial Asia Limited	.HKG	.NIA	Genworth Financial International Holdings, LLC	Ownership		Genworth Financial, Inc.	.N	
.4011	Genworth Financial, Inc.	.37095	56-1775870				Genworth Financial Assurance Corporation	.NC	.RE	Enact Mortgage Holdings, LLC	Ownership		Genworth Financial, Inc.	.N	
		.00000	80-0873306		0001276520	NYSE	Genworth Financial, Inc.	.DE	.UIP	Remainder publicly owned	Ownership		Genworth Financial, Inc.	.N	
		.00000					Genworth Financial India Private Limited	.IND	.NIA	Genworth North America Corporation	Ownership		Genworth Financial, Inc.	.N	
		.00000					Genworth Financial India Private Limited	.IND	.NIA	Genworth Holdings, Inc.	Ownership		Genworth Financial, Inc.	.N	
		.00000	55-0848642				Genworth Financial International Holdings, LLC	.DE	.NIA	Genworth Holdings, Inc.	Ownership		Genworth Financial, Inc.	.N	
		.00000					Genworth Financial Mauritius Holdings Limited	.MUS	.NIA	Genworth Financial International Holdings, LLC	Ownership		Genworth Financial, Inc.	.N	
		.00000					Genworth Financial Participações Ltda.	.BRA	.NIA	Genworth Financial International Holdings, LLC	Ownership		Genworth Financial, Inc.	.N	
		.00000					Genworth Financial Participações Ltda.	.BRA	.NIA	Genworth Holdings, Inc.	Ownership		Genworth Financial, Inc.	.N	
		.00000	32-0098109				Genworth Financial Services, Inc.	.DE	.NIA	Enact Mortgage Holdings, LLC	Ownership		Genworth Financial, Inc.	.N	
		.00000	33-1073076				Genworth Holdings, Inc.	.DE	.UIP	Genworth Financial, Inc.	Ownership		Genworth Financial, Inc.	.N	
.4011	Genworth Financial, Inc.	.94072	56-1311049				Genworth Insurance Company	.NC	.IA	Genworth Financial, Inc.	Ownership		Genworth Financial, Inc.	.N	
.4011	Genworth Financial, Inc.	.65536	54-0283385				Genworth Life and Annuity Insurance Company	.VA	.DS	Genworth Life Insurance Company	Ownership		Genworth Financial, Inc.	.N	
.4011	Genworth Financial, Inc.	.70025	91-6027719				Genworth Life Insurance Company	.DE	.IA	Genworth North America Corporation	Ownership		Genworth Financial, Inc.	.N	
.4011	Genworth Financial, Inc.	.72990	22-2882416				Genworth Life Insurance Company of New York	.NY	.DS	Genworth Life Insurance Company	Ownership		Genworth Financial, Inc.	.N	
.4011	Genworth Financial, Inc.	.72990	22-2882416				Genworth Life Insurance Company of New York	.NY	.DS	Genworth Life and Annuity Insurance Company	Ownership		Genworth Financial, Inc.	.N	
.4011	Genworth Financial, Inc.	.38458	31-0985858				Genworth Mortgage Insurance Corporation	.NC	.IA	Enact Mortgage Holdings, LLC	Ownership		Genworth Financial, Inc.	.N	
		.16675	56-0729821				Genworth Mortgage Insurance Corporation of North Carolina	.NC	.IA	Enact Mortgage Holdings, LLC	Ownership		Genworth Financial, Inc.	.N	
		.00000	91-1277112				Genworth North America Corporation	.IA	.LDP	Genworth Holdings, Inc.	Ownership		Genworth Financial, Inc.	.N	
		.00000					Genworth Seguros de Credito a la Vivienda, S.A. de C.V.	.MEX	.IA	Genworth Financial International Holdings, LLC	Ownership		Genworth Financial, Inc.	.N	
		.00000					Genworth Seguros de Credito a la Vivienda, S.A. de C.V.	.MEX	.IA	Genworth Mortgage Insurance Corporation	Ownership		Genworth Financial, Inc.	.Y	
		.00000					Genworth Servicios, S. de R.L. de C.V.	.MEX	.NIA	Genworth Financial International Holdings, LLC	Ownership		Genworth Financial, Inc.	.N	
		.00000					Genworth Servicios, S. de R.L. de C.V.	.MEX	.NIA	Genworth Mortgage Insurance Corporation	Ownership		Genworth Financial, Inc.	.Y	
		.00000	26-0070983				GFCM LLC	.DE	.DS	Genworth Life Insurance Company	Ownership		Genworth Financial, Inc.	.N	
		.00000	26-3366147				GLIC Real Estate Holding, LLC	.DE	.DS	Genworth Life Insurance Company	Ownership		Genworth Financial, Inc.	.N	
		.00000					GLICNY Real Estate Holding, LLC	.DE	.NIA	Genworth Life Insurance Company of New York	Ownership		Genworth Financial, Inc.	.N	
		.00000					GNWLAAC Real Estate Holding, LLC	.DE	.NIA	Genworth Life and Annuity Insurance Company	Ownership		Genworth Financial, Inc.	.N	
		.00000	04-3193403				HGI Annuity Service Corporation	.DE	.NIA	Genworth North America Corporation	Ownership		Genworth Financial, Inc.	.N	
.4011	Genworth Financial, Inc.	.97144	54-1215126				Jamestown Life Insurance Company	.VA	.IA	Genworth Life and Annuity Insurance Company	Ownership		Genworth Financial, Inc.	.N	

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE GENWORTH FINANCIAL ASSURANCE CORPORATION

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Owner- ship Provide Percen- tage	14 Ultimate Controlling Entity(ies)/Person(s)	15 Is an SCA Filing Re- quired? (Y/N)	16 *
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi- ciliary Loca- tion	Rela- ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)					
		.00000	13-3627179				Mayflower Assignment Corporation	..NY.....	..NIA.....	Genworth Life Insurance Company of New York	Ownership.....		Genworth Financial, Inc.	...Y.....	
..4011...	Genworth Financial, Inc.00000	82-4894729				Monument Lane PCC, Inc.	..DC.....	..IA.....	Enact Mortgage Holdings, LLC	Ownership.....		Genworth Financial, Inc.	...N.....	
..4011...	Genworth Financial, Inc.00000	82-4912153				Monument Lane IC 1, Inc.	..DC.....	..IA.....	Monument Lane PCC, Inc.	Ownership.....		Genworth Financial, Inc.	...N.....	
..4011...	Genworth Financial, Inc.00000	82-4924650				Monument Lane IC 2, Inc.	..DC.....	..IA.....	Monument Lane PCC, Inc.	Ownership.....		Genworth Financial, Inc.	...N.....	
		.00000	04-3401260				National Eldercare Referral Systems, LLC	..DE.....	..NIA.....	Genworth North America Corporation	Ownership.....		Genworth Financial, Inc.	...N.....	
		.00000	36-3919178				Newco Properties, Inc.	..VA.....	..NIA.....	Genworth Life and Annuity Insurance Company	Ownership.....		Genworth Financial, Inc.	...Y.....	
..4011...	Genworth Financial, Inc.13569	26-3709693				River Lake Insurance Company VI	..DE.....	..IA.....	Genworth Life and Annuity Insurance Company	Ownership.....		Genworth Financial, Inc.	...N.....	
..4011...	Genworth Financial, Inc.13618	26-4266916				River Lake Insurance Company VII	..VT.....	..IA.....	Genworth Life and Annuity Insurance Company	Ownership.....		Genworth Financial, Inc.	...N.....	
..4011...	Genworth Financial, Inc.13776	27-0951675				River Lake Insurance Company VIII	..VT.....	..IA.....	Genworth Life and Annuity Insurance Company	Ownership.....		Genworth Financial, Inc.	...N.....	
..4011...	Genworth Financial, Inc.15139	46-0982336				River Lake Insurance Company X	..VT.....	..IA.....	Genworth Life and Annuity Insurance Company	Ownership.....		Genworth Financial, Inc.	...N.....	
..4011...	Genworth Financial, Inc.11365	62-1819880				Sponsored Captive Re. Inc.	..NC.....	..IA.....	Enact Mortgage Holdings, LLC	Ownership.....		Genworth Financial, Inc.	...N.....	
		.00000	23-2571379				United Pacific Structured Settlement Company	..FL.....	..NIA.....	Genworth North America Corporation	Ownership.....		Genworth Financial, Inc.	...N.....	

Asterisk	Explanation

PART 1 - LOSS EXPERIENCE

Line of Business		Current Year to Date			4 Prior Year to Date Direct Loss Percentage
		1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1.	Fire				
2.	Allied Lines				
3.	Farmowners multiple peril				
4.	Homeowners multiple peril				
5.	Commercial multiple peril				
6.	Mortgage guaranty				
8.	Ocean marine				
9.	Inland marine				
10.	Financial guaranty				
11.1	Medical professional liability - occurrence				
11.2	Medical professional liability - claims-made				
12.	Earthquake				
13.	Group accident and health				
14.	Credit accident and health				
15.	Other accident and health				
16.	Workers' compensation				
17.1	Other liability - occurrence				
17.2	Other liability - claims-made				
17.3	Excess workers' compensation				
18.1	Products liability - occurrence				
18.2	Products liability - claims-made				
19.1,19.2	Private passenger auto liability				
19.3,19.4	Commercial auto liability				
21.	Auto physical damage				
22.	Aircraft (all perils)				
23.	Fidelity				
24.	Surety				
26.	Burglary and theft				
27.	Boiler and machinery				
28.	Credit		(2,292)		
29.	International				
30.	Warranty				
31.	Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX	XXX
32.	Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX	XXX
33.	Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX	XXX
34.	Aggregate write-ins for other lines of business				
35.	Totals		(2,292)		
DETAILS OF WRITE-INS					
3401.				
3402.				
3403.				
3498.	Summary of remaining write-ins for Line 34 from overflow page				
3499.	Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)				

PART 2 - DIRECT PREMIUMS WRITTEN

Line of Business		1	2	3
		Current Quarter	Current Year to Date	Prior Year Year to Date
1.	Fire			
2.	Allied Lines			
3.	Farmowners multiple peril			
4.	Homeowners multiple peril			
5.	Commercial multiple peril			
6.	Mortgage guaranty			
8.	Ocean marine			
9.	Inland marine			
10.	Financial guaranty			
11.1	Medical professional liability - occurrence			
11.2	Medical professional liability - claims-made			
12.	Earthquake			
13.	Group accident and health			
14.	Credit accident and health			
15.	Other accident and health			
16.	Workers' compensation			
17.1	Other liability - occurrence			
17.2	Other liability - claims-made			
17.3	Excess workers' compensation			
18.1	Products liability - occurrence			
18.2	Products liability - claims-made			
19.1,19.2	Private passenger auto liability			
19.3,19.4	Commercial auto liability			
21.	Auto physical damage			
22.	Aircraft (all perils)			
23.	Fidelity			
24.	Surety			
26.	Burglary and theft			
27.	Boiler and machinery			
28.	Credit			
29.	International			
30.	Warranty			
31.	Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX
32.	Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX
33.	Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX
34.	Aggregate write-ins for other lines of business			
35.	Totals			
DETAILS OF WRITE-INS				
3401.			
3402.			
3403.			
3498.	Summary of remaining write-ins for Line 34 from overflow page			
3499.	Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)			

NONE

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE GENWORTH FINANCIAL ASSURANCE CORPORATION

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

	1	2	3	4	5	6	7	8	9	10	11	12	13									
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1+2)	2021 Loss and LAE Payments on Claims Reported as of Prior Year-End	2021 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2021 Loss and LAE Payments (Cols. 4+5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols.7+8+9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols.4+7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5+8+9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11+12)									
1. 2018 + Prior					(2)	(2)						(2)	(2)									
2. 2019																						
3. Subtotals 2019 + Prior					(2)	(2)						(2)	(2)									
4. 2020																						
5. Subtotals 2020 + Prior					(2)	(2)						(2)	(2)									
6. 2021	XXX	XXX	XXX	XXX			XXX				XXX	XXX	XXX									
7. Totals					(2)	(2)						(2)	(2)									
8. Prior Year-End Surplus As Regards Policyholders	10,060										Col. 11, Line 7 As % of Col. 1 Line 7	Col. 12, Line 7 As % of Col. 2 Line 7	Col. 13, Line 7 As % of Col. 3 Line 7									
											1.	2.	3.									
											Col. 13, Line 7 As a % of Col. 1 Line 8											
											4. 0.0											

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE GENWORTH FINANCIAL ASSURANCE CORPORATION

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

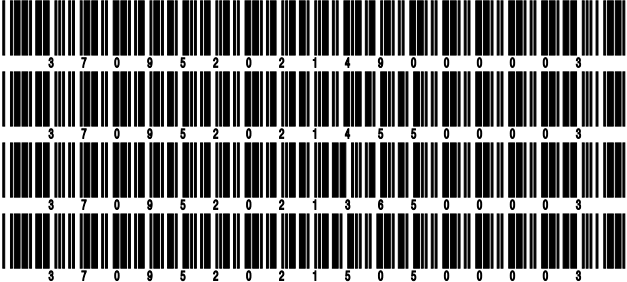
	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

Explanations:

1.
2.
3.
4.

Bar Codes:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Supplement A to Schedule T [Document Identifier 455]
3. Medicare Part D Coverage Supplement [Document Identifier 365]
4. Director and Officer Supplement [Document Identifier 505]



SCHEDULE A - VERIFICATION

Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION

Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest paid and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	9,177,274	8,694,853
2. Cost of bonds and stocks acquired	2,306,897	4,700,812
3. Accrual of discount	5,463	8,606
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals	497	
6. Deduct consideration for bonds and stocks disposed of	4,996,484	4,225,824
7. Deduct amortization of premium	356	1,173
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	6,493,291	9,177,274
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	6,493,291	9,177,274

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE GENWORTH FINANCIAL ASSURANCE CORPORATION

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	5,484,772	1,006,898		1,621	4,433,121	5,484,772	6,493,291	9,177,274
2. NAIC 2 (a)								
3. NAIC 3 (a)								
4. NAIC 4 (a)								
5. NAIC 5 (a)								
6. NAIC 6 (a)								
7. Total Bonds	5,484,772	1,006,898		1,621	4,433,121	5,484,772	6,493,291	9,177,274
PREFERRED STOCK								
8. NAIC 1								
9. NAIC 2								
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock								
15. Total Bonds and Preferred Stock	5,484,772	1,006,898		1,621	4,433,121	5,484,772	6,493,291	9,177,274

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:
NAIC 1 \$; NAIC 2 \$; NAIC 3 \$ NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

Schedule DA - Part 1 - Short-Term Investments

N O N E

Schedule DA - Verification - Short-Term Investments

N O N E

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	836,500	1,328,300
2. Cost of cash equivalents acquired		2,414,000
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals		2,905,800
7. Deduct amortization of premium		
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	836,500	836,500
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	836,500	836,500

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

N O N E

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

N O N E

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE GENWORTH FINANCIAL ASSURANCE CORPORATION

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
68583R-DH-2	OREGON CNTY COLLEGE DIST 2.223% 06/3		.08/12/2021	PIPER JAFFRAY & HOPIWOOD		250,000	250,000		1.C FE
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions						250,000	250,000		XXX
040555-DD-3	ARIZONA PUBLIC SERVICE CO 2.200% 12/15		.08/11/2021	Suntrust Banks Inc		249,957	250,000		1.F FE
09261B-AC-4	BLACKSTONE HOLDINGS FINANCE CO Series 14		.07/29/2021	GOLDMAN SACHS & CO		249,293	250,000		1.E FE
875127-BH-4	TAMPA ELECTRIC CO 2.400% 03/15/31		.08/10/2021	Suntrust Banks Inc		257,648	250,000	2,400	1.G FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						756,898	750,000	2,400	XXX
8399997. Total - Bonds - Part 3						1,006,898	1,000,000	2,400	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						1,006,898	1,000,000	2,400	XXX
8999997. Total - Preferred Stocks - Part 3							XXX		XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks							XXX		XXX
9799997. Total - Common Stocks - Part 3							XXX		XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks							XXX		XXX
9899999. Total - Preferred and Common Stocks							XXX		XXX
9999999 - Totals						1,006,898	XXX	2,400	XXX

Schedule D - Part 4 - Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed Of
N O N E

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open
N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open
N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made
N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To
N O N E

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees
N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned
N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned
N O N E

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE GENWORTH FINANCIAL ASSURANCE CORPORATION

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

[illegible]

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE GENWORTH FINANCIAL ASSURANCE CORPORATION

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

[illegible]